

STEVE CARTER
ATTORNEY GENERAL
OFFICE OF THE INDIANA ATTORNEY GENERAL
5th Floor - Indiana Government Center South
302 West Washington Street
Indianapolis, IN 46204

**PROFESSIONAL FUNDRAISER CONSULTANT OR SOLICITOR
APPLICATION FOR REGISTRATION**

Name of Fundraiser Consultant or Solicitor:

Person in organization to contact regarding this application:

GENERAL INSTRUCTIONS:

1. Answer all items completely. *Please type or print legibly.* This form must comply with Indiana Code § 23-7-8-1 *et seq.* and 11 IAC 3-1 *et seq.*
2. Initial Registration: Include \$1,000.00 registration fee with initial application.

Renewal Registration: Include \$50.00 renewal fee with renewal application. This renewal fee and renewal update application must be received before July 2 of each year.

Make check, cashier's check, or money order payable to:

Office of the Indiana Attorney General

3. You must notify the Consumer Protection Division in writing within 180 days of any change in the information contained in this application. Extra copies of this form can be downloaded at www.in.gov/attorneygeneral/consumer/fundraiser.htm.
4. File this form at least two weeks before the start of any campaign.
5. Do not leave questions blank. Write "N/A" if a question does not apply to you.
6. If you cannot provide a complete response to any question in the space provided, attach additional sheets as necessary to provide a complete response. Please mark any additional sheet with the number of the question to which it responds.

7. File with: Office of the Indiana Attorney General
Consumer Protection Division
Attn: Fundraiser Registration
5th Floor - Indiana Government Center South
302 West Washington Street
Indianapolis, IN 46204-2770

DEFINITIONS:

"Professional fundraiser consultant" means any person who is hired for a fee to plan, manage, advise, or act as a consultant in connection with soliciting contributions for, or on behalf of, a charitable organization, but who does not actually solicit contributions as a part of the person's services or employ, procure, or engage a compensated person to solicit contributions. The term does not include a charitable organization, or a bona fide officer, employee, member, or volunteer of a charitable organization, that solicits on its own behalf.

"Professional solicitor" means a person who, for a financial consideration, solicits contributions for, or on behalf of, a charitable organization, either personally or through agents or employees specifically employed for that purpose. The term does not include a charitable organization, or an officer, an employee, a member, or a volunteer of a charitable organization, that solicits on its own behalf.

For other definitions applicable to professional fundraiser consultants and solicitors, see Indiana Code § 23-7-8-1 and 11 IAC 3-1.

REGISTRATION

1. This registration application is being completed in my/our capacity as a (see the definitions above, then check one below):

Professional fundraiser consultant
Professional solicitor

2. This application is (check one below):

New (\$1,000.00 fee required)
Renewal (\$50.00 fee required)
Information change only (no fee required)

3. Name, address, and telephone number of registrant's principal place of business:

Name

Street Address

Mailing Address (if different)

City State ZIP

Telephone Number (including area code and extension)

Telefax Number (if applicable)

E-mail Address (if applicable)

4. Name, title, address, and telephone number of the person to whom correspondence regarding registration, renewals, and notice filings should be directed:

Name Title

Street Address

Mailing Address (if different)

City State ZIP

Telephone Number (including area code and extension)

Telefax Number (if applicable)

E-mail Address (if applicable)

5. Name(s), alias(es), or assumed name(s), under which your organization intends to solicit contributions (your d/b/a, if any):

6. Has any court or other governmental authority denied, suspended, revoked, or enjoined your organization's registration or renewal?

Yes

No

If yes, explain in detail and attach a copy of any judgment, notice, or order showing such denial, suspension, revocation, or injunction.

7. Has any court or other governmental authority sued or indicted any of the officers, directors, partners, managers or supervisors of your organization in their individual capacities for fundraising-related activities?

Yes

No

If yes, explain in detail and attach a copy of any judgment, notice, or order showing such lawsuit or indictment.

8. Names and addresses of all persons (including individuals, organizations, trust foundations, associations, partnerships, limited liability companies, or corporations) who own a ten percent (10%) or greater interest in the registrant:

9. Does the registrant or any person named in Question 8 own any other business related to fundraising?

Yes

No

If yes, provide a detailed description of any such business.

10. Names and addresses of all officers, employees, and agents who are actively involved in fundraising or related activities:

(a) Name
Title or position with registrant
Street and number
City/State/ZIP
Telephone (including area code)

(b) Name
Title or position with registrant
Street and number
City/State/ZIP
Telephone (including area code)

(c) Name
Title or position with registrant
Street and number
City/State/ZIP
Telephone (including area code)

(d) Name
Title or position with registrant
Street and number
City/State/ZIP
Telephone (including area code)

(e) Name
Title or position with registrant
Street and number
City/State/ZIP
Telephone (including area code)

(f) Name
Title or position with registrant
Street and number
City/State/ZIP
Telephone (including area code)

(Attach additional pages if necessary.)

11. List of all current campaigns with charitable organizations and the beginning and ending dates.

(a) Charitable Organization: _____
Beginning Date: _____
Ending Date: _____

(b) Charitable Organization: _____
Beginning Date: _____
Ending Date: _____

(c) Charitable Organization: _____
Beginning Date: _____
Ending Date: _____

(d) Charitable Organization: _____
Beginning Date: _____
Ending Date: _____

(e) Charitable Organization: _____
Beginning Date: _____
Ending Date: _____

(f) Charitable Organization: _____
Beginning Date: _____
Ending Date: _____

(g) Charitable Organization: _____
Beginning Date: _____
Ending Date: _____

(h) Charitable Organization: _____
Beginning Date: _____
Ending Date: _____

(i) Charitable Organization: _____
Beginning Date: _____
Ending Date: _____

(Attach additional pages if necessary.)

I affirm under the penalties for perjury that the representations made in this application are true and accurate.

Date Signed

Name of Registrant

By: _____
(signature and title)

(printed signature)

NOTARY

STATE OF _____)

) SS:

COUNTY OF _____)

Subscribed and sworn to before me, a Notary Public in and for said County and State, this _____ day of _____, 20____.

My Commission Expires:

Signature of Notary Public

County of Residence:

(printed signature)